

Fundamental Concepts of Programming Languages

Functional Programming Fundamentals

Lecture 12

conf. dr. ing. Ciprian-Bogdan Chirila

University Politehnica Timisoara
Department of Computing and Information Technology

January 8, 2023

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT AND OR

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

Introduction

- Functional programming languages
 - Based on computations with functions
- The execution of a pure functional program
 - The evaluation of expressions that contain function calls
- Functional programs advantages
 - Are wrote fast
 - Are more concise
 - Are high level
 - Good for formal checking
 - Can be executed fast on parallel architectures

Referential transparency

- Important characteristic of functional programming
 - There are no side effects !!!
- Pure functional language
 - Assures the referential transparency
- The semantic of a construction and the value resulted from the evaluation
 - depend exclusively only on the semantic of its components

Referential transparency example

- For the expression $(f + g) * (x + y)$ the semantic and thus the value depend only on:
 - $f + g$
 - $x + y$
- For the subexpression $f + g$ the semantic and thus the value depend only on:
 - f and g
 - and it is independent of $(x + y)$
- For the subexpression $x + y$ the semantic and thus the value depend only on:
 - x and y
 - and it is independent of $(f + g)$

Referential transparency

- Allows substitution of expressions with the same semantic
- Thus, we can replace
 - $(x + y) * z$ with $x * z + y * z$
- The value of the expression does not depend on evaluation order
 - $x * z$ can be replaced with $z * x$

Variables and assignment

- make an expression depend on the history of the program execution
- especially global variables
- side effects
- in imperative languages and non pure functional
 - referential transparency is not enabled

Variables and assignment

- example:
- if f and g are functions depending on global variable
 - then the very same expression $(f + g) * (x + y)$
 - may provide different values on several evaluations
 - depending on the global variable

Variables and assignment

- example:
- the expression $(x + y) * f$ will not have the same value with
 - $x * f + y * f$
 - if f is a function which modifies the value of y

Transparency property

- is very important
- influences the readability of
 - programs
 - analysis
 - automatic formal checking
- it is one of the main property of functional pure languages

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

Lambda calculus

- developed by mathematician Alonzo Church in the 30's
- Church presents a simple mathematical system that allows formalization of
- programming languages
- programming in general
- the notation may seem unusual
- it can be viewed as a simple functional language

Lambda calculus (LC)

- from LC we can develop all the other modern programming languages features
- it can be used as a universal code in translating functional languages
 - it is simple, but not necessarily an efficient technique
- it can be easily interpreted
- it is a mathematical system to manipulate the so called λ expressions

A λ expression

- a name
 - string of characters
- a function
- the application of a function

The function

- λ name.body
- name preceded by λ is called the bound variable of the function
 - similar to a formal parameter
- body is a λ expression
- the function has no name

The application of a function

- has the form `(expression expression)`
 - the first expression is a function
 - the second expression is the argument
- represents a concretization of the function
- the name specified as a bound variable in the expression will be replaced with the argument

Examples

- identity function
- auto-application function

Identity function

- $\lambda x. x$
- bound variable
 - first x
- body
 - the second x
- $(\lambda x. x \ a)$ results in a
- the argument can be a function itself
- $(\lambda x. x \ \lambda x. x)$ results in $\lambda x. x$

Auto-application function

- $\lambda a. (a \ a)$
 - a – is the bound variable
 - $(a \ a)$ – is the body
- passing an argument to this function the effect is that the argument is applied to itself
- If we apply auto-application to the identity function
 - $(\lambda a. (a \ a) \ \lambda x. x)$ results $\lambda x. x$
- If we apply the auto-application function to itself
 - $(\lambda a. (a \ a) \ \lambda a. (a \ a))$ results in $(\lambda a. (a \ a) \ \lambda a. (a \ a))$
 - ...
 - the auto-application never ends

β reduction

- In order to simplify the writing of λ expressions we will introduce a notation that allows us to associate a name with a function
 - `def identity = $\lambda x.x$`
 - `def auto-application = $\lambda a.(a a)$`
- `(name argument)`
 - the application of the name to the specified argument
- `(name argument)` is similar to `(function argument)`
 - where the name was associated with the function

β reduction

- is to replace a bound variable with the argument specified in the application
- as many times as it occurs in the function body
- (function argument) \Rightarrow expression
 - after one β reduction in the application from the left results in the expression from the right
- (function argument) $\Rightarrow \dots \Rightarrow$ expressions
 - the expression is obtained after several β reductions

Examples

Selecting the first argument

- `def sel_first = λ first. λ second.first`
 - `first` – bound variable
 - `λ second.first` – the body
- `((sel_first arg1) arg2) ==`
- `((λ first. λ second.first arg1) arg2) \Rightarrow`
- `(λ second.arg1 arg2) \Rightarrow arg1`
- applied to a pair of arguments `arg1` and `arg2`
- the function returns the first argument `arg1`
- the second argument `arg2` is ignored

Comments

- in order to simplify notation we can skip the parentheses
- when there are no ambiguities
- to apply two arguments to `sel_first` function can be denoted
- `sel_first arg1 arg2`
- the notation is of a function with two parameters

Comments

- in λ calculus such functions are expressed through nested functions
- the function $\lambda \text{first} . \lambda \text{second} . \text{first}$ applied to a random argument (arg1) result in a function
- $\lambda \text{second} . \text{arg1}$
- that applied to any other second argument returns arg1

Examples

Selecting the second argument

```
def sel_second =  $\lambda$ first.  $\lambda$ second. second
sel_second arg1 arg2 ==
 $\lambda$ second. second arg2 => arg2
```

Examples

Building a tuple of values

```
def build_tuple arg1 arg2 ==  
  λfirst.λsecond.λf.(f first second) arg1 arg2 =>  
  λsecond.λf.(f arg1 second) arg2 =>  
  λf.(f arg1 arg2)  
  λf.(f arg1 arg2) sel_first=>  
  sel_first arg1 arg2 => ... =>arg1  
  λf.(f arg1 arg2) sel_second=>  
  sel_second arg1 arg2 => ... =>arg2
```

Variables binding. Free and bound variables

- the issues addressed are similar to variables domain from a programming language
- arguments substitution in the body of a function are well accomplished when bound variables in function expressions are named differently
- $(\lambda f. (f \ \lambda x. x) \ \lambda a. (a \ a))$
- the three involved functions in the expression have f , x and a as bound variables
- $(\lambda f. (f \ \lambda x. x) \ \lambda a. (a \ a)) \Rightarrow$
- $(\lambda a. (a \ a) \ \lambda x. x) \Rightarrow$
- $(\lambda x. x \ \lambda x. x) \Rightarrow \lambda x. x$

Variables binding. Free and bound variables

- $(\lambda f. (f \lambda x. x) \lambda a. (a a))$
- expression can be written like:
- $(\lambda f. (f \lambda f. f) \lambda a. (a a))$ with the $\lambda f. f$ result after the substitution
- for the first substitution the f bound variable is replaced in function $\lambda f. (f \lambda f. f)$ with $\lambda a. (a a)$
- this implies the replacement of the first f from the expression $(f \lambda f. f)$
- it results $(\lambda a. (a a) \lambda f. f)$ which can be further reduced

Variables binding. Free and bound variables

- we do not replace f from the body of the function $\lambda f.f$
- in the new function f is a new bound variable
- accidentally they have the same name

The domain of the bound variable of a function

- given the function
- $\lambda \text{name} . \text{body}$
- the domain of the name bound variable is over the function body
- the occurrences of the same name outside the function body does not correspond to the bound variable

Examples

- considering the expression
- $(\lambda f. \lambda g. \lambda a. (f (g a))) \lambda g. (g g)$
- the domain of the f bound variable is expression
- $\lambda g. \lambda a. (f (g a))$
- the domain of the g bound variable is expression
- $\lambda a. (f (g a))$
- the domain of the g variable is the expression
- $(g g)$

Bound variable definition

- the occurrence of a variable v in an expression E is bound if it is present in an subexpression of E which has the form $\lambda v.E1$
 - v appears in the body of a function with a bound to the variable called v
- otherwise the occurrence of v is a free variable

More examples

- $v(a\ b\ v)$
 - v is free
- $\lambda v.v(x\ y\ v)$
 - v is bound
- $v(\lambda v.(y\ v)\ y)$
 - v is free in the first occurrence
 - v is bound in the second occurrence

Variable domain definition

- given the function
- $\lambda \text{name} . \text{body}$
- the domain of the bound variable `name` extends over the body sequences in which the occurrence of `name` is free

Example

- given the expression
- $\lambda g. (g \ \lambda h. (h (g \ \lambda h. (h \ \lambda g. (h \ g)))) \ g)$
- we establish the domain of g by analyzing the function body
 $(g \ \lambda h. (h (g \ \lambda h. (h \ \lambda g. (h \ g)))) \ g)$
- the appearances of g outside the red marked zone are free

β reduction definition

- given the application $(\lambda \text{name}.\text{body} \text{ argument})$
- we replace all the free occurrences of `name` from the `body` with `argument`

Initial example revisited

- $(\lambda f. (f \ \lambda f. f) \ \lambda a. (a \ a))$
- the applied function is
- $\lambda f. (f \ \lambda f. f)$
- its body is
- $(f \ \lambda f. f)$
- the first and only the first occurrence of f is free and it will be replaced with the argument specified in the application
- $(\lambda a. (a \ a) \ \lambda f. f) \Rightarrow (\lambda f. f \ \lambda f. f) \Rightarrow \lambda f. f$

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction**
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

β reduction strong definition

- given an application $(\lambda \text{name}. \text{body} \text{ argument})$
- we replace all occurrences of `name` from the `body` with the `argument`
- e.g. $(\lambda f. (f \ \lambda f. f) \ \lambda a. (a \ a))$
- the applied function is $\lambda f. (f \ \lambda f. f)$
- its body is $(f \ \lambda f. f)$
- $(\lambda a. (a \ a) \ \lambda f. f)$
- $(\lambda f. f \ \lambda f. f)$
- $\lambda f. f$

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions**
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

Name conflicts. Alfa conversions

- applying a β reduction, name conflicts may arise
- e.g.:

```
def f =  $\lambda x. \lambda y. (x\ y)$ 
f x y == ( $\lambda x. \lambda y. (x\ y)$ ) y z
=> ( $\lambda y. (y\ y)$ ) z
=> z z
```

the result is erroneous

the error may be corrected like:

```
( $\lambda x. \lambda y1. (x\ y1)$ ) y z
=> ( $\lambda y1. (y\ y1)$ ) z
=> y z
```

Name conflicts. Alfa conversions

Given a function

$\lambda \text{name1}.\text{body}$

the name of the bound variable `name1` and also the free appearances of the `name1` inside the function body may be replaced with a new name, `name2` given the condition that in $\lambda \text{name1}.\text{body}$ appears no free variable named `name2`

The function $\lambda y.(x\ y)$ was transformed in function $\lambda y1.(x\ y1)$

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction**
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

Mu reduction

- μ reduction is a transformation that (like β reduction) allows the replacement of a λ expression with an equivalent, simpler one
- given the function $\lambda \text{name} . (\text{expression name})$
it is equivalent to:
`expression`
- $\lambda \text{name} . (\text{expression name}) \text{ argument}$
 $\Rightarrow (\text{expression argument})$

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions**
- 7 Logical operators: NOT, AND, OR

Applied λ calculus

- involves logical values
- involves logical operations
- the C ternary operator
condition ? ex1 : ex2
- we model the logical values with the following functions: `sel_first`, `sel_second`, `build_tuple`

Applied λ calculus

def cond = $\lambda e1. \lambda e2. \lambda c. (c\ e1\ e2)$

we apply this function succesively to expressions ex1 and ex2:

cond ex1 ex2 ==

$\lambda e1. \lambda e2. \lambda c. (c\ e1\ e2)\ ex1\ ex2 \Rightarrow$

$\lambda e2. \lambda c. (c\ ex1\ e2)\ ex2 \Rightarrow$

$\lambda c. (c\ ex1\ ex2)$

Applied λ calculus

the true and false values will be represented by the `sel_first` and `sel_second` functions

```
def true =  $\lambda p.\lambda s.p$ 
```

```
def false =  $\lambda p.\lambda s.s$ 
```

resulting:

```
cond ex1 ex2 true => ... =>
```

```
 $\lambda c.(c\ ex1\ ex2)\ \lambda p.\lambda s.p\ =>$ 
```

```
 $\lambda p.\lambda s.p\ ex1\ ex2\ =>\ \dots\ =>\ ex1$ 
```

similarly:

```
cond ex1 ex2 false => ... =>
```

```
 $\lambda c.(c\ ex1\ ex2)\ \lambda p.\lambda s.s\ =>$ 
```

```
 $\lambda p.\lambda s.s\ ex1\ ex2\ =>\ \dots\ =>\ ex2$ 
```

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

The NOT logical operator

```
def not= $\lambda$ x.(cond false true x)
```

e.g.:

```
not true ==  $\lambda$ x.(cond false true x) true =>
cond false true true => ... => false
```

conversely

```
not false ==  $\lambda$ x.(cond false true x) false =>
cond false true false => ... => true
```

The AND logical operator

```
def and= $\lambda x.\lambda y.(\text{cond } y \text{ false } x)$ 
```

e.g.:

we compute true AND false

```
(and true false) ==
```

```
 $\lambda x.\lambda y.(\text{cond } y \text{ false } x)$  true false => ... =>
```

```
cond false false true => ... => false
```

we compute false AND true

```
(and false true) ==
```

```
 $\lambda x.\lambda y.(\text{cond } y \text{ false } x)$  false true => ... =>
```

```
cond true false false => ... => false
```

The AND logical operator

```

we compute NOT false AND true
(and (not false) true) ==
λx.λy.(cond y false x) (λx.(cond false true
x)) true => ... =>
λx.λy.(cond y false x) true true => ... =>
cond true false true => ... => true

```

The OR logical operator

```
def or= $\lambda x.\lambda y.(cond\ true\ y\ x)$ 
```

e.g.:

we compute true OR false

```
(or true false) ==
```

```
 $\lambda x.\lambda y.(cond\ true\ y\ x)\ true\ false\ ==\ \dots\ ==>$ 
```

```
cond true false true ==> \dots ==> true
```

FCPL - 12 - Functional Programming Fundamentals

- 1 Introduction
 - Referential transparency
 - Variables and assignment
- 2 Lambda calculus
 - Lambda calculus and functions
 - Beta reduction
 - Variable binding. Free variables and bound variables
- 3 Beta reduction
- 4 Name conflicts. Alfa conversions
- 5 Mu reduction
- 6 Boolean values and conditional expressions
- 7 Logical operators: NOT, AND, OR

Bibliography

- 1 Horia Ciocarlie - The programming language universe, second edition, Timisoara, 2013.
- 2 Carlo Ghezzi, Mehdi Jarayeri - Programming Languages, John Wiley, 1987.
- 3 Ellis Horowitz - Fundamentals of programming languages, Computer Science Press, 1984.
- 4 Donald Knuth - The art of computer programming, 2002.